

TECHNOLOGY CENTER R3700



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David McMorrow, Henrick Hansen and Tom

McHale

Application No.:

10/087303

Filed:

February 28, 2002

For:

BALLOON FOLDING APPARATUS, METHODS

AND PRODUCTS

Examiner:

Not Yet Assigned

Group Art Unit:

3761

Docket No.: S63.2B-9719-US01

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

<u>X</u>	I. This stater	nent qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.					
§1.97(b) or otherwise	e because to the knowledge of the undersigned attorney it is being filed					
(check	all that apply)) :					
	(1)	within 3 months of the filing date of the application (other than a CPA); or					
	(2)	within 3 months of entry of the national stage; or					
	<u>X</u> (3)	before the mailing of a first Office Action on the merits;					
	(4)	before the mailing of a first Office Action after the filing of a request for					
		continued examination (RCE) under §1.114;					
	(5)	as part of a continued prosecution application (CPA); or					
	(6)	during the period of a suspension of action for a CPA under 37 C.F.R.					
		§1.103(b).					
	II. This statement is believed to require a fee or the submission of a certification under						
	37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1)						
	three months	beyond the filing date of a national application (other than CPA); (2) three					
	months beyon	nd the date of entry of the national stage as set forth in §1.491 in an					
	international	application; (3) the mailing of a first Office Action on the merits; (4) the					
	mailing of a first Office Action after the filing of a request for continued examination						
	under §1.114; or (5) after the filing of a request for a continued prosecution application,						
	but before the mailing date of the earlier of a final office action under §1.113, a notice of						
	allowance under §1.311 or an action that otherwise closes prosecution in the application,						
	then:						
	(1)	a certification as specified in §1.97(e) is provided below; or					
	(2)	a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or					
		included with the payment of other papers filed together with this					
		statement.					

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	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the								
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an								
	action that otherwise closes prosecution in the application, but before payment of the								
	issue fee, then.								
	(1) a certification as specified in §1.97(e) is completed below; and								
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or								
	included with payment of other papers filed together with this statement.								
<u>X</u>	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure								
	Statement and full payment has not been submitted herewith, regardless of which boxes								
	have been checked above, the Commissioner is hereby authorized to charge any								
	additional fees associated with this communication to Deposit Account No. 22-0350.								
	The Commissioner is hereby authorized to credit any overpayment associated with this								
	communication to Deposit Account No. 22-0350.								
If para	graph II.1 or III is checked, also check one of the paragraphs below								
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in								
	this Information Disclosure Statement was first cited in a communication from a foreign								
	patent office in a counterpart foreign application not more than three months prior to the								
	date of the filing of this information disclosure statement.								
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the								
	information disclosure statement was cited in a communication from a foreign patent								
	office in a counterpart foreign application, and to the knowledge of the person signing the								
	statement after making reasonable inquiry, no item of information contained in the								

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

than three months prior to the filing of the Information Disclosure Statement.

information disclosure statement was known to any individual designated in 1.56(c) more

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise.

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Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.



Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Registration No.: 43071

Date: June 10, 2003

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(Use several sheets if necessary)				FILING DATE: February 28, 2002			GROUP: 3761			
REFEI	RENC	E DESIGNATION	U.S.	PATENT AND PUBLISI	HED A	PPLICATION	1 DOCUMENTS			
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		OTHER ART (I	ncluding Au	ıthor, Title, Date, Pertinei	nt Page	s, Ect.)				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

CC

EXAMINER